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**NEW**  
**MEMORANDUM**

RS-00000A-02-0005

**TO:** Sally D'Antimo  
Docket Control

**FROM:** Cheryl T. Farson   
Securities Division

**DATE:** January 4, 2002

**RE:** Docket number for amendment to A.A.C. R14-4-132

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Please issue a docket number for a proposed amendment to A.A.C. R14-4-132 ("rule 132"). Rule 132 describes the books and records that must be made, maintained, and preserved by dealers.

The distribution list for this docket should include:

Mark Sendrow, Director Securities Division  
Cheryl T. Farson, General Counsel Securities Division  
Moirra McCarthy, Assistant Attorney General

Thank you. If you need additional information, please do not hesitate to telephone me at 602 542-0193.

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